

The Comperio**Advantage:** RECORDKEEPER SEARCH

The Environment

The Current environment of continued recordkeeper consolidating along with increased 401(k) litigation has created a challenge for Plan Sponsors in understanding the marketplace and ensuring they are protecting themselves from a fiduciary perspective.

Having an independent advisor/consultant with significant experience in analyzing recordkeeper(s) is vital for Plan Sponsors. Here is a sample of questions that need to be asked and documented:

- What documentation do we have for why we selected our current recordkeeper?
- When was the last time we did a due diligence review of our recordkeeper?
- If my recordkeeper was acquired, what does the new recordkeeping consolidation look like for my plan?
- What are the latest technologies available to Plan Sponsors? Are we leveraging these services for our Plan?
- What tools and resources are available for our plan participants? How does our current plan measure up?
- What type of cyber security protections are in place?
- How do our fees compare to the marketplace?

Client-Focused

- Retirement and Investment Consulting is 100% of our business.
- Comperio has no affiliation with any broker dealer or financial services firm.

Conflict-Free

- Serve as plan fiduciary for all clients.
- We do not provide or sell any personal investments to plan participants.

Experienced

- Technically oriented consultants that average 25 years experience in the retirement plan industry.
- Our consultants bring a unique perspective having worked both on the provider and plan sponsor side.

The Client

Technology Firm

Retirement Plan Assets: \$30 Million

Number of Active Participants: 500+

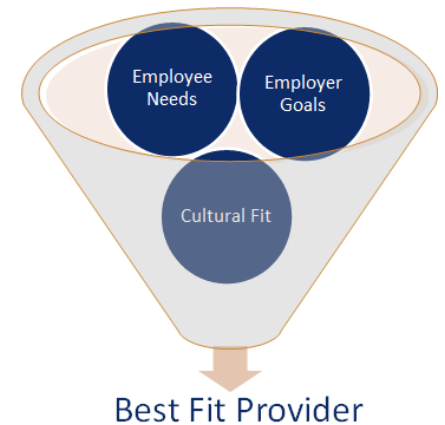
7+ year relationship with current recordkeeper

Goals

- Improve administrative efficiency and accuracy by automating processes (enrollment, distributions)
- Implement a 360 communication between payroll and recordkeeper
- Obtain more robust technology interface for their employees

Approach

- Create a hierarchy of key goals for Plan Sponsor and Participants
- Identify alternative recordkeepers' capabilities to determine which can fulfill the needs of the Plan
- Create a Custom RFP with needs-based questions to identify the best fit provider(s)



The Results

Comperio Retirement Consulting's proprietary process and analysis of the plan resulted in:

- 1. Enhanced automation of administrative processes including enrollment, eligibility tracking, loans, and distributions**
- 2. 360 payroll integration**
- 3. Upgraded participant interface with new web and mobile technology**
- 4. 30% reduction in fees versus the existing fee structure**
- 5. Fully documented process in a written report**



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Discover how Comperio Retirement Consulting's innovative, proven, client-centric approach can help your company reduce its plan administration costs, mitigate your fiduciary risks and help meet the long-term financial needs of your employees.